



PATENT
Attorney Docket No. ASX-063

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: Tracy GROUP NO.: 1753
SERIAL NO.: 10/642,505 EXAMINER: Not yet assigned.
FILING DATE: August 18, 2003
TITLE: CONTROL SYSTEM FOR A SPUTTERING SYSTEM

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with the provisions of 37 C.F.R. 1.97 and 1.98, Applicants hereby make or record the patents and publications listed on the accompanying Form PTO-1449, and other information contained herein, for consideration by the Examiner in connection with the examination of the above-identified patent application. In accordance with the U.S. Patent Office's partial waiver of the requirement under 37 C.F.R. 1.98(a)(2)(i), only copies of the foreign patent documents and non-patent publications are enclosed.

REMARKS

In accordance with the provisions of 37 C.F.R., this statement is being filed (check one)

- ☒ (1) within three (3) months of the **filing date** of a national application other than a continued prosecution application under 37 C.F.R. 1.53(d), or within three (3) months of the **date of entry of the national stage** as set forth in 37 C.F.R. 1.491 in an international application, or before the mailing of the **first Office action** on the merits, or before the mailing of a **first Office action** after the filing of a request for continued examination under 37 C.F.R. 1.114; or
- ☐ (2) after the period defined in (1) but before the mailing date of a **final action** or a **notice of allowance** under 37 C.F.R. 1.311, and
- ☐ the requisite Statement is below, **OR**
- ☐ the requisite fee under 37 C.F.R. 1.17(p), namely **\$180.00**, is included herein, or

- ☐ (3) after the mailing date of a final action or notice of allowance but before the payment of the issue fee, **AND**
- ☐ the requisite Statement is below, **AND**
- ☐ the requisite fee under 37 C.F.R. 1.17(p), namely **\$180.00**, is included herein.

It is respectfully requested that each of the patents and publications listed on the attached Form PTO-1449, and other information contained herein, be made of record in this application.

STATEMENT


As required under 37 C.F.R. 1.97(e), Applicant(s), through the undersigned, hereby state either that [check the appropriate space only if either (2) or (3) is checked on the previous page and the Statement is required]:

- ☐ 1. Each item of information contained in the Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application **not more than three months** prior to the filing of the Information Disclosure Statement; or
- ☐ 2. No item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this Statement after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to **any individual** designated in 37 C.F.R. 1.56(c) **more than three months** prior to the filing of the Information Disclosure Statement.

Respectfully submitted,

Date: March 30, 2004
Reg. No.: 36,471

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SHEET 1 OF 1

FORM PTO - 1449 INFORMATION DISCLOSURE STATEMENT				ATTORNEY DOCKET NO.: ASX-063 APPLICANT(S): Tracy SERIAL NO.: 10/642,505 FILING DATE: August 18, 2003 GROUP: 1753					
U.S. PATENT DOCUMENTS									
EXAM. INIT.		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE		
	A1	4,902,394	02/20/90	Kenmotsu et al.	204	192.12	01/20/88		
	A2	4,996,646	02/26/91	Farrington	364	483	03/31/88		
	A3	5,166,887	11/24/92	Farrington et al.	364	483	01/28/91		
	A4	5,170,360	12/08/92	Porter et al.	364	483	12/07/90		
	A5	5,554,809	09/10/96	Tobita et al.	73	700	10/05/94		
	A6	5,664,066	09/02/97	Sun et al.	395	23	09/09/94		
	A7	5,711,843	01/27/98	Jahns	156	345	02/21/95		
	A8	5,759,424	06/02/98	Imatake et al.	216	60	03/22/95		
	A9	5,810,982	09/22/98	Sellers	204	298.08	09/30/96		
	A10	5,831,851	11/03/98	Eastburn et al.	364	167.01	03/21/95		
	A11	6,020,794	02/01/00	Wilbur	333	17.1	02/09/98		
	A12	6,291,999	09/18/01	Nishimori et al.	324	464	09/30/98		
	A13	6,521,099	02/18/03	Drummond et al.	204	192.12	09/25/00		
FOREIGN PATENT DOCUMENTS									
EXAM. INIT.		DOCUMENT NUMBER	DATE	COUNTRY CODE	CLASS	SUB CLASS	FILING DATE	ABSTRACT ONLY	ENGLISH LANG (Y/N)
OTHER ART, JOURNAL ARTICLES, ETC.									
EXAM. INIT.	OTHER DOCUMENTS: (Including Author, Title, Date, Relevant Pages, Place of Publication)								
EXAMINER					DATE CONSIDERED				